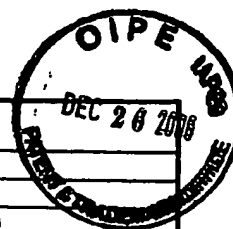


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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/789,953
				Filing Date	February 26, 2004
				First Named Inventor	NARASIMHAN, Mukundan
				Art Unit	2826
				Examiner Name	MANDALA, Victor A.
Sheet	1	of	2	Attorney Docket Number	9140.0030-00

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
VM		US-2002/0009630	01-24-2002	Gao et al.	
↓		US-2004/0245561 A1	12-09-2004	Sakashita et al.	
		US-5,110,696	05-05-1992	Shokoohi et al.	
↓		US-6,379,835 B1	04-30-2002	Kuchеровsky et al	
		US-6,444,104 B2	09-03-2002	Gopalraja et al	
VM		US-6,558,836	05-06-2003	Whitacre et al	

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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
VM		KR 2002-26187	04-06-2002	Hyundai Motor Co Ltd		
VM		WO 01/82297 A1	11-01-2001	Koninklijke Philips Electronics N.V.		

NON PATENT LITERATURE DOCUMENTS			
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VM		SNOEKS, E. et al., "Cooperative upconversion in erbium-implanted soda-lime silicate glass optical waveguides," J. Opt. Soc. Am. B 12(8):1468-1474 (1995).	
↓		Final Office Action dated October 12, 2006, in U.S. Application No. 10,291,179 (Attorney Docket No. 9140.0001-00).	
		Notice of Allowance issued on October 8, 2002, in U.S. Patent No. 6,533,907 (Atty. Docket No. 09140-0004-00).	
		Office Action mailed September 6, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00).	
		Response to Office Action filed on August 9, 2006 in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).	
↓		Office Action dated October 31, 2006, in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).	
		Office Action dated October 12, 2006, for U.S. Application No. 11/228,805 (Attorney Docket No. 09140.0030-01000).	
VM		Office Action dated September 22, 2006 from Korean Patent Office in Appl. No. 10-2005-7016055 (Atty. Docket No. 09140.0030-00202)	

Examiner Signature	/Victor Mandala/	Date Considered	02/14/2007
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				Examiner Name	MANDALA, Victor A.
Sheet	2	of	2	Attorney Docket Number	9140.0030-00

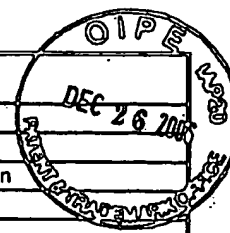
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VM		Final Office Action dated October 26, 2006, in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
VM		Voluntary Amendment filed August 15, 2006 in TW Appl. No. 94143175 (Atty. Docket No. 09140.0042-00270).	
VM		PCT International Search Report and Written Opinion for Application No. PCT/US05/44781 dated October 3, 2006 (Attorney Docket No. 9140.0042-00304).	

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		Number-Kind Code ² (if known)			
VM		US-5,814,195	09-29-1998	Lehan et al.	
VM		US 6,268,695	07-31-2001	Affinito	
VM		US 6,497,598	12-24-2002	Affinito	
VM		US 6,522,067	02-18-2003	Graff et al.	
VM		US 6,570,325	05-27-2003	Graff et al.	

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VM		Response to Final Office Action mailed November 3, 2006, in U.S. Application No. 10,291,179 (Attorney Docket No. 9140.0001-00).	
VM		Office Action dated December 1, 2006, in U.S. Application No. 10,291,179 (Attorney Docket No. 9140.0001-00).	
VM		Response to Office Action filed on December 6, 2006, in Application No. 10/954,182 (Attorney Docket No. 9140.0016-01).	
VM		Response to Office Action dated December 21, 2006, in U.S. Application No. 11/228,805 (Attorney Docket No. 9140.0030-01).	
VM		Response to Office Action mailed November 8, 2006, to the Korean Patent Office in Application No. 10-2005-7016055 (Attorney Docket No. 9140.0030-00202).	
VM		Preliminary Amendment filed July 21, 2006, in U.S. Application No. 11/297,057 (Attorney Docket No. 9140.0042-00).	
VM		Supplemental Preliminary Amendment, Substitute Specification with Markings, Substitute Specification without Markings, and Replacement Drawings dated December 6, 2006 in U.S. Application No. 11/297,057 (Attorney Docket No. 9140.0042-00).	
VM		Continuation Application and Preliminary Amendment as-filed (Attorney Docket No. 9140.0042-01).	

Examiner Signature	/Victor Mandala/	Date Considered	02/14/2007
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Application Number		10/789,953	
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First Named Inventor		NARASIMHAN, Mukundan	
Art Unit		2826	
Examiner Name		MANDALA, Victor A.	
Attorney Docket Number		9140.0030-00	

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VM		US-2004/0245561 A1	12-09-2004	Sakashita et al.	
VM		US-5,110,696	05-05-1992	Shokoohi et al.	
VM		US-6,379,835 B1	04-30-2002	Kucherovsky et al	
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VM		Voluntary Amendment filed August 15, 2006 in TW Appl. No. 94143175 (Atty. Docket No. 09140.0042-00270).	
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